IBA ARBITRATION COMMITTEE

Sub Committee on recognition and enforcement of arbitral awards

COUNTRY REPORT ON LOCAL REQUIREMENTS FOR THE VALIDITY OF THE ARBITRAL AWARD

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	Uruguay			
I.	General questions	(Yes/No /NA)	Additional comments, if any.	
I.1	Has the country that you are reporting about adopted the UNCITRAL Model Law?	Yes		
I.2	Is it required for the award to result from an agreement to arbitrate?	Yes		
I.2.a	If your answer to question $\underline{I.2}$ is yes, does the agreement to arbitrate have to be transcribed into the award?	No	Although not expressly required by law, it is customary.	
I.2.b	Does the agreement to arbitrate have to be attached to the award?	No		
I.2.c	If your answer to question <u>I.2.b</u> is yes, would a copy of the agreement to arbitrate be sufficient?	N/A		
I.2.d	If your answer to question <u>I.2.c</u> is no, is it necessary to attach an original version of the arbitration agreement?	N/A		
I.3	Must the award resolve a substantive issue, not merely a procedural matter to be considered an arbitral award?	Yes		
I.3.a	If your answer to question <u>I.3</u> is yes, should decisions purely on procedural and/or administrative matters be then resolved in form of a procedural order?	Yes		

I.4	Does the award must comply with certain minimal formal requirements?	Yes	
I.4.a	If your answer to question <u>I.4</u> is yes, is it required for the award to be an authenticated original award?	Yes	Notarization/Apostille or other form of certification of authenticity is not required for awards rendered in Uruguay to be enforceable but the award must be an original signed by all arbitrators or at least by the majority of the tribunal.
I.4.b	If your answer to question <u>I.4</u> is yes, is it required for the award to be in writing?	Yes	
I.4.c	If your answer to question $\underline{I.4}$ is yes, is it required for the award to be a reasoned instrument?	Yes	Unless agreed otherwise, the award must be reasoned.
I.4.d	If your answer to question $\underline{I.4}$ is yes, is it required for the award to indicate the place of arbitration?	Yes	
I.4.e	If your answer to question $\underline{I.4}$ is yes, is it required for the award to specify the date of the award?	Yes	
I.4.f	If your answer to question <u>I.4.e</u> is yes, does the date of the award need to be the date when the last of the arbitrators signed the award?	No	
I.4.g	If your answer to question $\underline{I.4.f}$ is no, is the date of the award the same date when the relevant arbitration institution confirmed the award?	No	
I.4.h	If your answer to question <u>I.4.g</u> is no, is the date of the award the same date when the award was sent to the parties?	No	
1.5	Are partial awards permitted?	Yes	
I.5.a	If your answer to question <u>I.5</u> is yes, please briefly explain (in the comments column) in which cases can a partial award be issued?	See comments	To determine the jurisdiction of the arbitral tribunal or to decide on any other bifurcated matter such as damages or

			allegations of lack of standing.
I.6	Are rectificative or interpretative additional awards permitted?	Yes	
I.6.a	If your answer to question <u>I.6</u> is yes, is there a specific deadline to issue rectificative or interpretative additional awards?	Yes	
			The parties may request correction or interpretation of the award within 30 days of receipt of the award. The Tribunal, if it considers the request justified, must correct or interpret the award within 30 days of the request. The tribunal may also correct errors in the award on its own initiative within 30 days after the award is rendered.
			An additional award, unless otherwise agreed, may be requested by the parties within 30 days of receipt of the award. The tribunal, if it considers the request justified, must render the additional award within 60 days of the request.
I.6.b	If your answer to question <u>I.6.a</u> is yes, which is the deadline?	See comments	In any of these cases the tribunal may extend its decision for up to 60 days whenever necessary.
I.6.c	If your answer to question <u>I.6</u> is yes, is the relevant additional award considered to be part of the initial award?	No	The corrections and interpretations of the award are part of the original award. Additional awards are not.

I.8.a	render awards by consent? If your answer to question <u>I.8.a</u> is yes, please provide a brief description (in the comments column) regarding such additional requirements.	Yes See comments	It must be requested by both parties and the tribunal must not object. The award shall expressly state that it is an award. As such, it is subject to the same formal requirements as any award: it must be issued
	If your answer to question $\underline{I.8}$ is yes, is there any additional requirement to		
I.8	Are awards by consent accepted?	Yes	
I.7.d	If your answer to question <u>I.7</u> is yes, is the enforcement of interim awards somehow conditioned to the rendering of the final award?	No	
I.7.c	If your answer to question <u>I.7</u> is yes, are decisions on the interpretation of a particular provision subject to an interim award?	No	
I.7.b	If your answer to question <u>I.7</u> is yes, are decisions on liability subject to an interim award?	No	
I.7.a	If your answer to question <u>I.7</u> is yes, are decisions on choice of law subject to an interim award?	No	
I.7	Are interim or preliminary awards permitted?	Yes	
I.6.f	If your answer to question <u>I.6</u> is yes, please briefly explain (in the comments column) in which cases can a interpretative award be issued?	See comments	In any case in which an extract from the award is not clear, provided that the request does not imply a revision of the case or a veiled appeal.
I.6.e	If your answer to question <u>I.6</u> is yes, please briefly explain (in the comments column) in which cases can a rectificative award be issued?	<i>See</i> comments	In case of computational, clerical, typographical or any other error of a similar nature.
I.6.d	If your answer to question <u>I.6.c</u> is no, is the relevant additional award considered to be a separate award from the initial award?	Yes	

II. La	anguage		
I.11.a	If your answer to question $\underline{I.11}$ is yes, please provide a list (in the comments column) of such requirements.	N/A	
I.11	Are arbitrators required to meet certain qualifications?	No	
I.10.a	If your answer to question $\underline{I.10}$ is yes, please specify (in the comments column) what is the relevant time limit.	N/A	
I.10	Is there a time limit requirement to render the award?	No	Unless otherwise agreed.
I.9.e	If your answer to question $\underline{I.9}$ is yes, should the efforts made by the arbitrators to notify the absent party and to give such party the opportunity to present its case be documented in the award?	Yes	
I.9.d	If your answer to question $\underline{I.9}$ is yes, must particular notification requirements be met?	No	
I.9.c	If your answer to question <u>I.9.b</u> is no, should the award be rendered in a form of an interim award?	N/A	
I.9.b	If your answer to question <u>I.9.a</u> is no, should the award be rendered in a form of a final award?	Yes	
I.9.a	If your answer to question $\underline{I.9}$ is yes, should the award be rendered in a form of a partial award?	No	
I.9	Are default awards accepted?	Yes	
			sole arbitrator or by the majority in the case of a collegiate tribunal (stating the reasons for the absence of any signature); it must state the date on which it was rendered and the seat of arbitration. The only difference with other awards is that it does not require to be reasoned.

II.1	Is it required for the award to be written in the language of the arbitral proceeding?	Yes	
II.1.a	If your answer to question <u>II.1</u> is yes, should the award be issued in all of the languages chosen by the parties for the arbitral proceedings?	Yes	
II.1.b	If your answer to question <u>II.1.a</u> is no, do the arbitrators have the discretion to choose between the languages of the arbitral proceedings to issue the award?	N/A	
II.1.c	If your answer to question <u>II.1</u> is no, should the language of the award be that of the arbitration agreement?	N/A	
II.1.d	If your answer to question <u>II.1</u> is no, should the language of the award be that of the underlying agreement?	N/A	
II.1.e	If your answer to question <u>II.1</u> is no, should the language of the award be that of the seat of arbitration?	N/A	
II.1.f	If your answer to question <u>II.1</u> is no, should the language of the award be the language of the parties' nationality?	N/A	
11.2	Are there any circumstances that must be taken into consideration in order to determine the language of the award?	Yes	The tribunal must apply the language agreed upon by the parties. In the absence of such an agreement, the law does not expressly state how the tribunal should determine the language, but it is customary for such determination to consider the language of the parties and the official language of the seat of the arbitration.
II.2.a	If your answer to question <u>II.2</u> is yes, should the language of the award be understandable by all of the arbitrators?	No	
II.2.b	If your answer to question $\underline{II.2}$ is yes, should the language of the award have a link to the dispute?	N/A	

III.1	Is it required for the arbitral award to bear the arbitrators' actual (as opposed to electronic) signature?	No	While electronic signatures are acceptable, scanned copies of handwritten signatures do not suffice for the filing of
III. S	ignature, date and place		
II.3.g	If your answer to question II.3.b is yes, is there any specific requirement regarding the person who can translate the text (<i>i.e.</i> sworn translator)?	N/A	
II.3.f	If your answer to question <u>II.3.e</u> is yes, should the arbitrators select the party which will translate the quote?	N/A	
II.3.e	If your answer to question <u>II.3.b</u> is no, should one of the parties translate the quote?	N/A	
II.3.d	If your answer to question <u>II.3.c</u> is no, should the translator be selected jointly by the parties?	N/A	
II.3.c	If your answer to question <u>II.3.b</u> is yes, should that translator be selected by the arbitrators?	N/A	
II.3.b	If your answer to question <u>II.3.a</u> is no, should a translator translate the quote?	N/A	
II.3.a	If your answer to question <u>II.3</u> is no, when the parties have made a quote on a language different from the one of the proceedings and the quote is used in the award, should that quote be translated by the arbitrators?	N/A	
11.3	Is it permitted to use two languages in the award (i.e. quotes in one language and the rest of the award in another language)?	Yes	
II.2.e	If your answer to question <u>II.2</u> is yes, should the arbitrators take into consideration the place where the award is most likely to be enforced?	N/A	
II.2.d	If your answer to question <u>II.2</u> is yes, should the arbitrators take into consideration the language of the correspondence between the parties?	N/A	
II.2.c	If your answer to question II.2 is yes, should the language of the award have a link to the parties?	N/A	

			an award for enforcement or annulment.
III.1.a	If your answer to question <u>III.1</u> is no, is it permitted for the arbitral award to bear the arbitrators' electronic signature?	Yes	
III.1.b	If your answer to question <u>III.1</u> is yes, is it required to use a specific ink color to sign the award?	N/A	
III.1.c	If your answer to question <u>III.1.b</u> is yes, please specify (in the comments column) the ink color that must be used.	N/A	
III.2	In case of majority decision, will the award be valid with the signature of the majority (as opposed to the signature of all of the arbitrators)?	Yes	
III.2.a	If your answer to question <u>III.2</u> is yes, is it required for the award to contain an explanation as to why a signature of an arbitrator is missing?	Yes	
111.3	In case of a dissenting opinion by one of the arbitrators, is it permitted for the award to bear the signature of the dissenting arbitrator?	Yes	
III.3.a	If your answer to question <u>III.3</u> is yes, is it required for the award to contain an explanation as to why award bears the signature of the dissenting arbitrator?	No	
III.3.b	Are the non-dissenting arbitrators required to analyze the dissenting opinion?	No	
III.4	In the case of unanimous decision, are all arbitrators required to sign the award?	No	Only the majority of the Tribunal is required to sign the award, provided the reasons for the lack of the signature of the other arbitrators are set forth.
III.4.a	If your answer to question <u>III.4</u> is no, would the signature of the president of the Arbitral Tribunal suffice?	No	
111.5	Is initialling of all the pages of the award required?	No	
III.5.a	If your answer to question <u>III.5</u> is yes, is initialling required from all of the members of the arbitral tribunal?	N/A	

III.5.b	If your answer to question <u>III.5</u> is yes, is it permitted for only some of the arbitrators to comply with such requirement?	N/A	
III.5.c	If your answer to question <u>III.5</u> is no, is initialling of all the pages permitted?	Yes	
111.6	In case of a dissenting opinion by one of the arbitrators, is initialling of all the pages required by the dissenting arbitrator?	No	
III.6.a	If your answer to question <u>III.6</u> is no, is initialling of the award by the dissenting arbitrator permitted?	Yes	
111.7	Is physical presence of the arbitrators at the place of arbitration required for validly signing the award?	No	
III.7.a	If your answer to question <u>III.7</u> is no, is it permitted for each arbitrator to sign at a different place from where the other arbitrators are signing?	Yes	
III.7.b	If your answer to question <u>III.7.a</u> is no, must physically meet to sign the award at the same place (different from the place of the arbitration)?	N/A	
III.7.c	If your answer to question <u>III.7</u> is yes, would this requirement also apply to cases where electronic signature is permitted?	N/A	
III.7.d	If your answer to question <u>III.7</u> is no, would there be any difficulty or problem for not physically signing the award at the place of arbitration?	No	
111.8	Is there any additional signature requirement applicable to the jurisdiction you are reporting about?	No	
III.8.a	If your answer to question <u>III.8</u> is yes, please indicate the requirement in the comments section.	N/A	
111.9	Is it required for the arbitral award to bear the date?	Yes	
III.9.a	If your answer to question <u>III.9</u> is yes, should each arbitrator state the effective date when he/she signed the award?	No	
III.9.b	If your answer to question <u>III.9.a</u> is no, should the date inserted in the award be the one when the last arbitrator effectively signed the award?	No	

III.9.c	If your answer to question <u>III.9.a</u> is yes, should the date be set using the calendar used at the relevant countries (i.e. solar calendar) of the nationality of the arbitrators?	N/A	
111.9.0			
III.9.d	If your answer to question <u>III.9.c.</u> is no, should the date be set using the calendar used at the place of arbitration (i.e. solar calendar)?	N/A	
III.9.e	If your answer to question <u>III.9.d</u> is no, should the date be set using the calendar used at the relevant countries of the nationality of the parties?	N/A	Although not expressly required by law, it is customary.
III.9.f	If your answer to question <u>III.9.e</u> is yes, if the countries where the parties are nationals of use different calendar systems, should the date be set in accordance all of those calendar systems (i.e. solar calendar and Chinese calendar)?	N/A	
III.9.g	If your answer to question <u>III.9.f</u> is no, should the arbitrators choose between the relevant calendar systems?	N/A	
III.9.h	If your answer to question <u>III.9</u> is yes, should the arbitrators write the entire date (i.e. January 1, 2019) as oppose of using only numbers (i.e. 01/01/2019)?	No	It is however common practice to state the entire date.
III.9.i	If your answer to question <u>III.9.h</u> is yes, what format should the arbitrators use (i.e. Month day, year)?	See comments	
III.9.j	If your answer to question <u>III.9.h</u> is no, what format should the arbitrators use when writing the date with only numbers (i.e. day/ month/year)?	Day/Month/ year	
III.10	Is it permitted to pre-date the award to the submission to the relevant arbitral institution's approval?	Yes	
III.11	Are the arbitrators free to choose the date in which their award will become effective?	No	The arbitrators are free to date the award; however, once dated and signed it becomes effective in such date.
III.11.a	If your answer to question <u>III.11</u> is no, would the award be deemed effective on the date of the last signature?	No	

III.11.b	If your answer to question <u>III.11.a</u> is no, please provide a brief description (in the comments column) regarding the deadline, standards or methods used to determine the date on which the award will become effective.	N/A	The award becomes effective on the date included in it; however the term for the action to vacate it accrues as from the moment the award is served on the parties, regardless of the date of issuance.
III.12	Are arbitrators required to state in their award the place where the award was made (seat of arbitration)?	Yes	
III.12.a	If your answer to question <u>III.12</u> is no, are arbitrators required to state the physical place where they were located during the proceedings?	N/A	
III.12.b	If your answer to question <u>III.12.a</u> is no, are arbitrators required to state in their award the place where they are at the precise moment of the signature of the award?	N/A	
III.13	Are arbitrators or the arbitral institution required to stamp the award?	No	
III.13.a	If your answer to question <u>III.13</u> is yes, is there a specific stamp that should be used?	N/A	
III.13.b	If your answer to question <u>III.13</u> is yes, is there any particular rule applying to the use of the stamps (e.g., one stamp every X pages, stamp on the junction of the pages etc.)?	N/A	
III.14	Are arbitrators or the arbitral institution required to bind the award?	No	
III.14.a	If your answer to question <u>III.14</u> is yes, is there any particular rule applying to the binding of the award (e.g., seal or other ways for granting authenticity etc.)?	N/A	
IV. N	otification of the award	(Yes/No /NA)	Additional comments, if any.
IV.1	Are there any specific required means for the notification of the award?	No	

IV.1.a	If your answer to question <u>IV.1</u> is yes, is it required for the award to be notified through judicial assistance?	No	
IV.1.b	If your answer to question $\underline{IV.1}$ is yes, is it required for the award to be notified through a public notary?	No	
IV.2	Is it permitted for the relevant arbitration institution to perform the notification of the award?	Yes	
IV.3	In an ad-hoc arbitration, is it required for the arbitrators themselves to notify the award to the parties?	No	
IV.3.a	If your answer to question <u>IV.3</u> is no, is it permitted for the arbitrators themselves to notify the award to the parties?	Yes	
IV.4	In an institutional arbitration, are arbitrators themselves required to notify the award to the parties?	No	
IV.4.a	If your answer to question $\underline{IV.4}$ is no, are arbitrators themselves permitted to notify the award to the parties?	Yes	
IV.5	Is it required to provide each of the parties with an original version of the award?	Yes	While the law does not explicitly state this requirement; given an original signed award is needed for purposes of enforcement or vacation and that the award shall be served on the parties, the requirement exists.
IV.5.a	If your answer to question $\underline{IV.5}$ is yes, in the case of a multiparty arbitration, is it required to provide an original version of the award to each of the parties (i.e. each of the claimants and each of the respondents)?	Yes	
IV.5.b	If your answer to question <u>IV.5.a</u> is no, would it be required to provide one original version of the award to respondents and one to claimants?	N/A	
IV.5.c	If your answer to question <u>IV.5</u> is yes, is it required for the award to be authenticated?	No	

IV.6	Is it required to provide each of the arbitrators with an original version of the award?	No	
IV.6.a	If your answer to question <u>IV.6</u> is no, would it be required to provide one original of the award for the arbitral tribunal?	No	
IV.6.b	If your answer to question <u>IV.6.a</u> is no, should a copy of the award be provided to the arbitral tribunal?	No	
IV.7	Is it required to provide an original version of the award to the courts of the seat of arbitration?	No	There is no requirement to register or provide local courts with a copy of the award (filing of a copy would only be required for the specific purposes of seeking annulment of the award or enforcement and in the context of such proceedings)
IV.7.a	If your answer to question <u>IV.7</u> is yes, should that award be original or authenticated?	N/A	
IV.7.b	If your answer to question <u>IV.7</u> is yes, is the arbitral tribunal required to provide an original version of the award to the court where enforcement is sought?	N/A	
IV.7.c	If your answer to question <u>IV.7.b</u> is yes, should that award be authenticated?	N/A	
IV.7.d	If your answer to question <u>IV.7</u> is no, is there any specific requirement for the presentation of an electronic version of an award to the courts?	N/A	
IV.8	Is it required for the notification of the award to be made by international courier?	No	
IV.8.a	If your answer to question <u>IV.8</u> is yes, are there specific international couriers that shall be used?	N/A	
IV.8.b	If your answer to question <u>IV.8.a</u> is yes, please briefly provide a description (in the comments column) as to those international couriers.	N/A	

IV.8.c	If your answer to question $\underline{IV.8}$ is no, is it permitted for the notification of the award to be made by international courier?	Yes	
IV.9	Is it required for the notification of the award to be made by public postal services?	No	
IV.9.a	If your answer to question $\underline{IV.9}$ is yes, are there specific public postal services that shall be used?	N/A	
IV.9.b	If your answer to question $\underline{IV.9.a}$ is yes, please briefly provide a description (in the comments column) as to those public postal services.	N/A	
IV.9.c	If your answer to question <u>IV.9</u> is no, is it permitted for the notification of the award to be made by public postal services?	Yes	
IV.10	Is it required for the parties to pick up the award personally at the offices of one of the arbitrators or of the arbitration institution?	No	
IV.10.a	If your answer to question $\underline{IV.10}$ is no, is it permitted for the parties to pick up the award personally at the offices of one of the arbitrators or of the arbitration institution?	Yes	
IV.11	After notifying the award to the parties, are the arbitrators required to assist the parties with complying with any further formalities that may be needed to ensure enforcement?	No	
IV.11.a	If your answer to question <u>IV.11</u> is yes, are the arbitrators required to assist the parties in obtaining the relevant <i>apostille</i> ?	N/A	
IV.11.b	If your answer to question $\underline{IV.11}$ is yes, please provide a brief description (in the comments column) as to which would those formalities be.	N/A	
IV.12	Is there any time limit established for notification purposes?	No	
IV.12.a	If your answer to question $\underline{IV.12}$ is yes, please provide a brief description (in the comments column) regarding the specific time limit established for the notification of the award to take place.	N/A	
IV. 12	Are there any additional specific local requirements for the notification of the award?	No	

IV.12.a	If your answer to question $\underline{IV.2}$ is yes, please provide a brief description (in the comments column) regarding which would those local requirements be?	N/A	
V.	Confidentiality	(Yes/No /NA)	Additional comments, if any.
V.1	Is it required for the draft of the award to be kept confidential (i.e. without sharing it with the parties)?	Yes	While not required by law, it is common practice and generally considered relevant based on due process and impartiality considerations.
V.1.a	If your answer to question $\underline{V.1}$ is no, is there any confidentiality obligation applicable to the drafting process of the award?	N/A	
V.2	Is it required for the comments and views of the arbitrators to be kept confidential (i.e. without sharing them to the parties)?	Yes	While not required by law, it is common practice and generally considered relevant based on due process and impartiality considerations and so as to avoid potential challenges to arbitrators or the award once rendered.
V.2.a	If your answer to question $\underline{V.2}$ is no, is there any confidentiality obligation applicable to the deliberation process of the arbitral tribunal?	N/A	
V.3	Is it required for the arbitrators or arbitral institution to notify the award preserving its confidentiality?	No	Unless the parties have agreed to keep the award and the proceedings confidential, there are no confidentiality requirements.
V.3.a	If your answer to question $\underline{V.3}$ is yes, are there specific confidentiality standards?	N/A	
V.3.b	If your answer to question $\underline{V.3.a}$ is yes, please provide (in the comments column) a brief description regarding those standards.	N/A	

V.4	Are the arbitrators required to identify the manner in which the award is to be notified in order to preserve its confidentiality?	No	
V.4.a	If your answer to question $\underline{V.4}$ is yes, are there any specific formalities that must be met regarding such identification?	N/A	
V.4.b	If your answer to question $\underline{V.4.a}$ is yes, please provide a brief description (in the comments column) regarding those formalities.	N/A	
V.5	Are the arbitrators required to identify to whom the award is to be notified in order to preserve confidentiality?	Yes	
V.5.a	If your answer to question $\underline{V.5}$ is yes, are there any specific formalities that must be met regarding such identification?	No	
V.5.b	If your answer to question $\underline{V.5.a}$ is yes, please provide a brief description (in the comments column) regarding those formalities.	N/A	
V.6	Does the award need to explicitly provide if it is (or not) of confidential nature?	No	
VI.	Secretary of the Arbitral Tribunal	(Yes/No /NA)	Additional comments, if any.
VI.1	Is it permitted for an arbitral tribunal secretary to assist the arbitrators in the drafting of the award?	Yes	
VI.1 VI.1.a		Yes	While not explicitly prohibited by the Arbitration Law, this could support an application for annulment of the award (although no precedents exist yet).
	in the drafting of the award? If your answer to question <u>VI.1</u> is yes, is it permitted for the arbitral tribunal		prohibited by the Arbitration Law, this could support an application for annulment of the award (although no

VII.2	Is it mandatory to state within the award additional administrative or procedural issues/information?	No	Although this is not required by the law, it is customary though, particularly with regards to the procedural history supporting that the proceedings ran according
VII.1	Is it mandatory to state within the award the reasons upon which the award is based?	Yes	Unless the parties agree otherwise an award shall state the grounds for the determinations made.
VII.	Content of the award	(Yes/No /NA)	Additional comments, if any.
VI.3	In case where the arbitral tribunal secretary is permitted to assist in the drafting of the award, is it required for the award to contain a description of the scope and extent of such assistance?	No	
VI.2.c	If your answer to question <u>VI.2.a</u> is yes, is the arbitral tribunal secretary under a duty to sign the award?	N/A	
VI.2.b	If your answer to question <u>VI.2.a</u> is yes, is it required for such description to include an impartiality and independence statement by the arbitral tribunal secretary?	N/A	
VI.2.a	If your answer to question <u>VI.2</u> is yes, is it required for such statement to include a description regarding her/his appointment as arbitral tribunal secretary?	N/A	
VI.2	Is it required for the award to state the name of the arbitral tribunal secretary?	No	
VI.1.d	If your answer to question <u>VI.1</u> is yes, please indicate if there is any legal provision in force regarding the nomination, scope of work and/or limits of assistance of a secretary to the arbitral tribunal.	No	
			international practice. Basically assisting the tribunal in procedural matters and administrative tasks as well as helping in processing documents and briefs in order to prepare the drafting of the award.

			to the parties' agreement, that the award deals with matters submitted to the arbitrators, and that there are no due process issues.
VII.2.a	If your answer to question <u>VII.2</u> is yes, is it required for the award to contain the names and addresses of the parties?	No	Names are necessary and addresses are customary.
VII.2.b	If your answer to question <u>VII.2</u> is yes, is it required for the award to contain the names and addresses of the legal representatives of the parties?	No	
VII.2.c	If your answer to question <u>VII.2</u> is yes, is it required for the award to contain the date, parties and precise terms of the arbitration agreement?	No	It is common practice to include this information although it is not required.
VII.2.d	If your answer to question <u>VII.2</u> is yes, is it required for the award to indicate whether the place of arbitration was agreed by the parties?	No	The law only requires that the award states which was the seat of the arbitration but not whether place was agreed by the parties.
VII.2.e	If your answer to question <u>VII.2</u> is yes, is it required for the award to indicate whether the place of arbitration was determined by the arbitral tribunal?	No	<i>Please see</i> answer to question VII.2.d.
VII.2.f	If your answer to question <u>VII.2</u> is yes, is it required for the award to contain the law or rules applicable to the arbitration agreement?	No	
VII.2.g	If your answer to question <u>VII.2.f</u> is yes, is it required for the award to specify if the laws or rules applicable to the arbitration agreement were agreed by the parties?	No	
VII.2.h	If your answer to question <u>VII.2.f</u> is yes, is it required for the award to specify whether the laws or rules applicable to the arbitration agreement were determined by the arbitral tribunal?	No	
VII.2.i	If your answer to question <u>VII.2</u> is yes, is it required for the award to indicate the laws applicable to the merits of the dispute?	No	While the law does not require this mention, an award failing to identify upon which rules the decision is made (especially if applying such law was the result of a decision by the Tribunal) may be at risk

			of annulment because of not being duly grounded.
VII.2.j	If your answer to question <u>VII.2.i</u> is yes, is it required for the award to specify if the laws applicable to the merits of the dispute were agreed by the parties?	No	
VII.2.k	If your answer to question <u>VII.2.i</u> is yes, is it required for the award to specify if the laws applicable to the merits of the dispute were determined by the arbitral tribunal?	No	
VII.2.1	If your answer to question <u>VII.2</u> is yes, is it required for the award to indicate the procedural rules governing the arbitration?	No	
VII.2.m	If your answer to question <u>VII.2</u> is yes, is it required for the award to indicate the language of the arbitration?	No	
VII.2.n	If your answer to question <u>VII.2.m</u> is yes, is it required for the award to specify if the language of the arbitration was agreed by the parties?	No	
VII.2.0	If your answer to question <u>VII.2.m</u> is yes, is it required for the award to specify if the language of the arbitration was determined by the arbitral tribunal?	No	
VII.2.p	If your answer to question <u>VII.2.m</u> is yes, when there is more than one language established for the arbitration, is it required for the award to indicate which one is authoritative?	No	
VII.2.q	If your answer to question <u>VII.2</u> is yes, is it required for the award to contain the name, nationality and contact details of each of the arbitrators?	No	The names are sufficient.
VII.2.r	If your answer to question <u>VII.2</u> is yes, is it required for the award to contain a description as to how the arbitrators were appointed?	No	It is common for awards in Uruguay to include this but it is not mandatory.
VII.2.s	If your answer to question <u>VII.2</u> is yes, is it required for the award to indicate the case reference stipulated by the arbitral institution, if any?	No	
VII.2.t	If your answer to question <u>VII.2</u> is yes, is it required for the award to contain a chronology of the events that led to the dispute?	No	

	If your answer to question <u>VII.2</u> is yes, is it required for the award to contain		
VII.2.u	the principal chronology of the proceedings?	No	
VII.2.v	If your answer to question <u>VII.2</u> is yes, is it required for the award to indicate the steps taken by the arbitral tribunal to ascertain the facts of the case?	No	
VII.2.w	If your answer to question <u>VII.2</u> is yes, is it required for the award to indicate the time limit for rendering the award, if applicable?	No	
VII.2.x	If your answer to question $\underline{\text{VII.2}}$ is yes, is it required for the award to indicate the type of award?	No	
VII.2.y	If your answer to question $\underline{VII.2.x}$ is yes, is it required for the type of award to be indicated on the cover page of the award?	No	
VII.2.z	If your answer to question <u>VII.2</u> is yes, is it required for the award to indicate the subject matter of the award (i.e. partial award on jurisdiction)?	No	
VII.2.aa	If your answer to question $\underline{VII.2.z}$ is yes, is it required for the subject matter of the award to be indicated on the cover of the award?	No	
VII.3	If the procedural history is required to be included in the award, are there specific procedural stances that are required to be indicated?	N/A	Although this is not required by law, it is customary though, particularly with regards to the procedural history supporting that the proceedings went according to the parties' agreement, that the award deals with matters submitted to the arbitrators, and that there are no due process issues.
VII.3.a	If your answer to question <u>VII.3</u> is yes, is it required to include the arbitration agreement?	N/A	It is common practice to include this information although it is not required
VII.3.b	If your answer to question <u>VII.3</u> is yes, is it required to include the date of commencement of the arbitration?	N/A	<i>Please see</i> answer to question VII.3.

VII.3.c	If your answer to question <u>VII.3</u> is yes, is it required to include the constitution of the arbitral tribunal as part of the procedural history?	N/A	It is common practice to include this information although it is not required
VII.3.d	If your answer to question <u>VII.3</u> is yes, is it required to include the procedural applications made by the parties to the arbitral tribunal?	N/A	<i>Please see</i> answer to question VII.3.
VII.3.e	If your answer to question <u>VII.3</u> is yes, is it required to include the arbitral tribunal's treatment of the applications made by the parties?	N/A	<i>Please see</i> answer to question VII.3.
VII.3.f	If your answer to question $\underline{\text{VII.3}}$ is yes, is it required to include the details concerning the evidence submitted by the parties?	N/A	
VII.4	If the award follows a prior award, is it required for the newer award to make reference to the prior award?	No	While not required, this is common practice.
VII.4.a	If your answer to question <u>VII.4</u> is yes, is it required to make reference to the procedural history of the prior award?	N/A	
VII.4.b	If your answer to question $\underline{\text{VII.4}}$ is yes, is the prior award considered to be part of the newer award?	N/A	
VII.4.c	If your answer to question <u>VII.4.a</u> is yes, is it sufficient to make reference to the sections of the prior award where the procedural history is described?	N/A	
VII.4.d	If your answer to question <u>VII.4.a</u> is yes, is it required for the newer award to include the prior award as an attachment?	N/A	
VII.4.e	If your answer to question <u>VII.4.d</u> is yes, is it required to attach an original or authenticated version of the prior award?	N/A	
VII.5	Is it required for the basis upon which the arbitral tribunal's jurisdiction is grounded to be included in the award?	No	While not required, this is common practice.
VII.5.a	If your answer to question <u>VII.5</u> is yes, if one of the parties objected the jurisdiction of the arbitral tribunal, is it required for such objection to be recorded in the award?	N/A	<i>Please see</i> answer to question VII.5.
VII.5.b	If your answer to question $\underline{VII.5}$ is yes, if one of the parties objected the jurisdiction of the arbitral tribunal, is it required for the reasoning and	N/A	

	resolution of the arbitral tribunal regarding such objection to be included in the award?		
VII.6	Is it required for the award to recite the parties' request for relief?	No	
VII.6.a	If your answer to question <u>VII.6</u> is yes, if the relief sought has changed during the proceeding, is it required to describe any withdrawal or modification of claims or waivers?	N/A	While not required, this is common practice.
VII.7	Is it required for the award to identify the issues to be decided by the arbitral tribunal?	No	While not required, this is common practice.
VII.7.a	If your answer to question <u>VII.7</u> is yes, is it required to identify whether certain issues are contingent on others?	N/A	
VII.8	Is it required for the award to contain an account of the relevant facts of the dispute?	No	
VII.8.a	If your answer to question $\underline{\text{VII.8}}$ is yes, is it required for the award to identify whether the facts are agreed or disputed?	N/A	<i>Please see</i> answer to question VII.8.
VII.8.b	If your answer to question <u>VII.8</u> is yes, is it required for the award to include any reasoning and resolution by the arbitral tribunal regarding disputed facts?	N/A	<i>Please see</i> answer to question VII.8.
VII.9	Is it required for the award to include a summary of the parties' positions with respect to the issues that are relevant to the arbitral tribunal's decisions?	No	While not required, this is common practice.
VII.9.a	If your answer to question $\underline{VII.9}$ is yes, is there a specific structure that shall be followed (i.e. issue by issue basis where the parties' positions are juxtaposed immediately after each other under each issue)?	N/A	
VII.9.b	If your answer to question <u>VII.9</u> is yes, is it permitted for the arbitral tribunal to paraphrase the arguments submitted by the parties?	N/A	
VII.9.c	If your answer to question <u>VII.9</u> is yes, is the arbitral tribunal required to include a verbatim transcription of every argument submitted by the parties?	N/A	
VII.10	If the procedural rules are in dispute between the parties, is it required for the award to set out the parties' positions in such regard?	No	While not required, this is common practice.

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VII.11	If the procedural rules are in dispute between the parties, is it required for the award to include the determination and reasoning of the arbitral tribunal in such regard?	Yes	This is not required explicitly by the law. However, an award failing to state the reasoning of the tribunal in this regard may support an application for annulment of the award on due process grounds.
VII.12	If the substantive laws applicable to merits of the case are in dispute between the parties, is it required for the award to set out the parties' positions in such regard?	No	
VII.13	If the substantive laws applicable to merits of the case are in dispute between the parties, is it required for the award to include the reasoning and determination by the arbitral tribunal in such regard?	Yes	This is not required explicitly by the law. However, an award failing to state the reasoning of the tribunal in this regard may support an application for annulment of the award on due process grounds.
VII.14	Is there any tax requirement that must be met by the arbitral tribunal when writing the award?	No	
VII.14.a	If your answer to question <u>VII.14</u> is yes, please briefly describe (in the comments column) the relevant tax requirement.	N/A	
VII.15	Is there any anti-money laundering requirement that must be met by the arbitral tribunal when writing the award?	No	
VII.15.a	If your answer to question <u>VII.15</u> is yes, please briefly describe (in the comments column) the relevant anti-money laundering requirement.	N/A	
VIII.	Reasoning and findings	(Yes/No /NA)	Additional comments, if any.
VIII.1	Is it required for the award to contain the arbitral tribunal's reasoning?	Yes	Unless the parties agree otherwise.
VIII.1.a	If your answer to question <u>VIII.1</u> is yes, is a specific extent required for such reasoning?	No	
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VIII.5.a	If your answer to question <u>VIII.5</u> is yes, is it customary to apply the principle of <i>iura novit curia</i> ?	Yes	Yes
VIII.4.b	If your answer to question <u>VIII.5</u> is yes, to what extent is the arbitral tribunal allowed to apply such principle?	N/A	Given this principle is so widely accepted in Uruguay despite it not being recognized arbitrators are recognized wide discretion to find the applicable law and an award will not be vacated because of the arbitrators' applying a different law or provisions than those argued by the parties or because of relying on different precedents or authorities.
IX.	Operative part (<i>dispositif</i>)	(Yes/No /NA)	Additional comments, if any.
IX.1	Is it required for the award to contain the arbitral tribunal's ultimate findings and decisions?	Yes	
IX.1.a	If your answer to question <u>IX.1</u> is yes, is it required for the operative part to be prefaced by specific introductory language (i.e. for the foregoing reasons, the Arbitral Tribunal renders the following decisions)?	No	This is not required but customary.
IX.1.b	If your answer to question <u>IX.1.a</u> is yes, please briefly specify (in the comments column) the introductory language that is required.	N/A	
IX.2	In the case of final awards, is it required for the award to include a "catch-all" dispositif (i.e. all other claims are dismissed)?	No	
IX.3	Are arbitrators allowed to include in the award injunctive relief?	Yes	
IX.4	Are arbitrators allowed to include in the award relief ordering specific performance of the relevant contract?	Yes	

IX.5	Are arbitrators allowed to include in the award relief ordering rectification, setting aside or cancellation of a deed or of another document?	Yes	
IX.6	Is it required for the arbitrators to include in the award a specific "wording/language" and/or any other "formula" for the award to be considered official/valid?	No	
IX.6.a	If your answer to question $\underline{IX.6}$ is yes, please briefly indicate (in the comments column) which wording should be included.	N/A	
X.	Dissenting and separate opinions	(Yes/No /NA)	Additional comments, if any.
X.1	Is it allowed for the arbitrators to write a dissenting or separate opinion?	Yes	
X.1.a	If your answer to question $\underline{X.1}$ is yes, is it required for the dissenting or separate opinion to be delivered as an attachment to the award?	No	This is common practice although it is not required.
X.1.b	If your answer to question $\underline{X.1.a}$ is no, is it required for the dissenting or separate opinion to be delivered as a separate document from the award?	No	
X.2	Are the arbitrators required to address within their reasoning the dissenting opinion?	No	
X.2.a	If your answer to question $\underline{X.2}$ is no, is it allowed for the arbitrators to address within the award the dissenting opinion as part of their reasoning?	Yes	
X.3	If an arbitrator disagrees with the majority's determination of an issue or issues but does not wish to write a dissenting opinion, is it required for the award to record the issue in question and the dissenting opinion on that issue?	No	This would only be required if the dissenting arbitrator refuses to sign the award.
X.3.a	If your answer to question $\underline{X.3}$ is yes, is it required to identify which arbitrator disagreed?	N/A	
XI.	Reservation of issues	(Yes/No /NA)	Additional comments, if any.

XI.1	In case the award is not final, is it allowed for the arbitral tribunal to reserve issues for later determination?	Yes	
XI.1.a	If your answer to question $\underline{XI.1}$ is yes, is it required for such issues to be clearly designated?	Yes	
XII.	Style and length	(Yes/No /NA)	Additional comments, if any.
XII.1	It is required for footnotes and citations in the award to be presented in a specific style?	No	
XII.1.a	If your answer to question $\underline{XII.1}$ is yes, please provide a brief description (in the comments column) of such style.	N/A	
XII.2	Is the arbitral tribunal permitted to indicate post-award interests?	Yes	
XII.2.a	If your answer to question $\underline{XII.2}$ is yes, is the arbitral tribunal required to indicate the pre-award interests separately from the post-award interests?	No	
XII.3	Are there any restrictions or requirements as to the length of the award?	No	
XII.3.a	If your answer to question $\underline{XII.3}$ is yes, please provide a brief description of such length.	N/A	
XIII.	Award of costs	(Yes/No /NA)	Additional comments, if any.
XIII.1	In the allocation of costs, is the arbitral tribunal required to consider the reasonableness of the costs claimed?	Yes	
XIII.1.a	If your answer to question $\underline{XIII.1}$ is no, in the allocation of costs, is the arbitral tribunal permitted to consider the reasonableness of the costs claimed?	N/A	
XIII.2	In allocating costs, is the arbitral tribunal required to consider the conduct of the parties?	No	Under the Arbitration Act the tribunal shall consider "the circumstances of the case" when allocating costs and fees. This reference includes the

			conduct of the parties, which can support a determination that the losing party shall not bear all or part of the costs and legal fees of the arbitration.
XIII.2.a	If your answer to question <u>XIII.2</u> is no, in allocating costs, is the arbitral tribunal allowed to consider the conduct of the parties?	Yes	
XIII.3	In allocating costs, is the arbitral tribunal required to consider the nature and complexity of the dispute?	Yes	Under the Arbitration Act the tribunal shall consider "the circumstances of the case" when allocating costs and fees. This reference includes the complexity of the case.
XIII.3.a	If your answer to question <u>XIII.3</u> is no, in allocating costs, is the arbitral tribunal allowed to consider the nature and complexity of the dispute?	N/A	
XIII.4	In allocating costs, is the arbitral tribunal required to consider whether a party has succeeded in whole or in part?	No	
XIII.4.a	If your answer to question <u>XIII.4</u> is no, in allocating costs, is the arbitral tribunal allowed to consider whether a party has succeeded in whole or in part?	Yes	
XIII.5	Regarding the arbitral tribunal's costs & expenses and institutional costs (if any), is the arbitral tribunal required to fully record in the award these costs and expenses in an institutional arbitration proceeding?	No	
XIII.5.a	If your answer to question XIII.5 is no, regarding the arbitral tribunal's costs and expenses and institutional costs (if any), is the arbitral tribunal allowed to fully record in the award these costs and expenses in an institutional arbitration proceeding?	Yes	
XIII.6	Regarding the arbitral tribunal's costs and expenses (if any), is the arbitral tribunal required to fully record in the award these costs and expenses in an ad-hoc arbitration proceeding?	No	
XIII.6.a	If your answer to question $\underline{XIII.6}$ is no, regarding the arbitral tribunal's costs and expenses (if any), is the arbitral tribunal allowed to fully record in the award these costs and expenses in an ad-hoc arbitration proceeding?	Yes	

XIII.7	Is it required for the award on costs to be reasoned?	Yes	There is no express provision in this regard. However, this determination would fall within the general requirement that an award is reasoned. In practice, determinations of costs and fees are very brief.
XIII.7.a	If your answer to question <u>XIII.7</u> is no, is it allowed for the award on costs to be reasoned?	N/A	
XIII.8	Are the arbitrators required to use certain size/type of paper?	No	
XIII.8.a	If your answer to question $\underline{XIII.8}$ is yes, please specify (in the comments column) which size/type of paper is required.	N/A	
XIII.9	Is it prohibited for the arbitrators to use different sizes/types of paper to print the award?	No	
XIV.	Structure of the Award	(Yes/No /NA)	Additional comments, if any.
XIV.1	Is it required for the award to separate its formal from is substantive aspects?	No	
XIV.1.a	If your answer to question $\underline{XIV.1}$ is yes, is there a specific order required (i.e. formal issues first)?	N/A	
XIV.1.a XIV.1.b		N/A N/A	
	formal issues first)? If your answer to question <u>XIV.1.a</u> is yes, please briefly indicate (in the		
XIV.1.b	formal issues first)? If your answer to question <u>XIV.1.a</u> is yes, please briefly indicate (in the comments column) the requested order.	N/A	

			identification of the parties, composition of the tribunal, arbitration agreement, applicable laws, place and language of the arbitration, factual summary, summary of claims/submissions, procedural history, reasoning (on jurisdiction/merits/remedi es-damages), costs and fees, and relief granted.
XIV.3	Is it required to address jurisdiction before substance?	No	
XIV.3.a	If your answer to question $\underline{XIV.3}$ is no, is it customary to address jurisdiction before substance?	Yes	
XIV.4	Is it required to discuss the merits of the claim before quantum?	No	
XIV.4.a	If your answer to question $\underline{XIV.4}$ is no, is it customary to discuss the merits of the claim before quantum?	Yes	
XIV.5	When the resolution of specifics issues depend on the resolution of another, is it required to address the latter before any related issues (i.e. scope of an indemnity clause prior to analyze the specific indemnity that is sought)?	No	
XIV.5.a	If your answer to question $\underline{XIV.5}$ is no, is it customary to address such issue before resolving any related issues?	Yes	
XV.	References to exhibits, authorities and witnesses declarations	(Yes/No /NA)	Additional comments, if any.
XV.1	Is it required to identify in the award all exhibits submitted during the proceeding?	No	
XV.1.a	If your answer to question $\underline{XV.1}$ is yes, is there a specific format to do so?	N/A	
XV.1.b	If your answer to question $\underline{XV.1}$ is no, is it customary to identify in the award all exhibits submitted during the proceeding?	No	Normally, awards only refer to the critical exhibits supporting the findings.

XV.1.c	If your answer to question $\underline{XV.1}$ is no, is it allowed to identify in the award all exhibits submitted during the proceeding?	Yes	
XV.2	Is it required to identify in the award all evidence submitted during the proceeding?	No	
XV.2.a	If your answer to question $\underline{XV.2}$ is yes, is there a specific format to do so?	N/A	
XV.2.b	If your answer to question $\underline{XV.2}$ is no, is it customary to identify in the award all evidence submitted during the proceeding?	No	Normally, awards only refer to the most critical exhibits/evidence, supporting the findings. In cases when several pieces of evidence support a single fact, it is not uncommon for awards to refer to only once of such pieces (unless the issue was heavily debated).
XV.2.c	If your answer to question $\underline{XV.2}$ is no, is it allowed to identify in the award all evidence submitted during the proceeding?	Yes	
XV.3	Is it required to identify in the award all authorities cited during the proceeding?	No	
XV.3.a	If your answer to question $\underline{XV.3}$ is yes, is there a specific format to do so?	N/A	
XV.3.b	If your answer to question $\underline{XV.3}$ is no, is it customary to identify in the award all authorities cited during the proceeding?	No	
XV.3.c	If your answer to question $\underline{XV.3}$ is no, is it allowed to identify in the award all authorities cited during the proceeding?	Yes	
XV.4	Is it required for references to the parties' submissions to contain pinpoint citations (i.e. specific paragraph numbers)?	No	
XV.4.a	If your answer to question \underline{XV} . ⁴ is no, is it customary for references to the parties' submissions to contain pinpoint citations (i.e. specific paragraph numbers)?	Yes	

XV.5	Is it required to make direct quotations of a witness' declaration on a particular issue?	No	
XV.5.a	If your answer to question $\underline{XV.5}$ is no, is it allowed to summarize the essence of a witness' declaration on a particular issue?	Yes	
XV.5.b	If your answer to question $\underline{XV.5.a}$ is yes, is it a custom to summarize the essence of a witness' declaration on a particular issue?	Yes	
XV.6	Is it permitted to cite in the award judicial precedents that were not cited by the parties?	Yes	This is not common but possible.
XV.6.a	If your answer to question $\underline{XV.6}$ is yes, is it customary to cite in the award such judicial precedents?	No	
XV.7	Is it permitted to cite in the award judicial precedents that were cited by the parties?	Yes	
XV.7.a	If your answer to question $\underline{XV.7}$ is yes, is it customary to cite in the award judicial precedents?	Yes	
XV.8	Is it permitted to cite in the award legal authors and doctrine?	Yes	
XV.8.a	If your answer to question $\underline{XV.8}$ is yes, is it customary to cite in the award such legal authors and doctrine?	Yes	
XV.8.b	If your answer to question $\underline{XV.8}$ is yes, is it permitted to cite legal authors and doctrine that were not cited by the parties?	Yes	This is not common but possible.
XVI.	Use of annexes and diagrams	(Yes/No /NA)	Additional comments, if any.
XVI.1	Are annexes to the award permitted?	Yes	
XVI.1.a	If you answer to question <u>XVI.1</u> is yes, is it customary?	No	
XVI.2	Is it permitted for the award (interim, partial and/or final) to include tools used by the arbitral tribunal during the deliberation process (tables, diagrams, flow charts, etc)?	Yes	

XVI.2.a	If your answer to question $\underline{XVI.2}$ is yes, is it customary to use such tools in the award?	No	
XVI.2.b	If your answer to question <u>XVI.2</u> is yes, is it permitted for such tools to be produced by the arbitral tribunal, in other words, to use items that are not on the record?	Yes	
XVII.	Miscellaneous	(Yes/No /NA)	Additional comments, if any.
XVII. XVII.1	Miscellaneous Are there any other local requirements for the validity on an award?	`	