



Our journey...

Compliance & Digitalization in Bayer



March 24, 2023





What is LPC Express?

High level explanation – Services at your fingertips!



Access LPC Express
in BayerNet: [go/LPC-Platform*](https://go/LPC-Platform)

LPC Express is a “**one-stop-shop**”.

Scope: All Bayer employees, all business divisions.

Services: Day-to-day standard needs in the areas of Compliance, Data Privacy and Legal matters. Mix Transactional and Analytical.

Compliance Investigations, based on a collaborative approach with the Enabling Functions: Internal Audit, Corporate Global Security, HR, Cybersecurity Risk Management.

LPCX business model: Regional GBS Teams. Bayer’s GBS Delivery Network + Law, Patents & Compliance community.

End Game: Create a simple and easy user journey from request to delivery of services. How? Digital LPC Platform offering self-service tools or individual advice by our qualified LPC Express teams depending on the nature of the matter.



Compliance



Data Privacy



Legal Matters

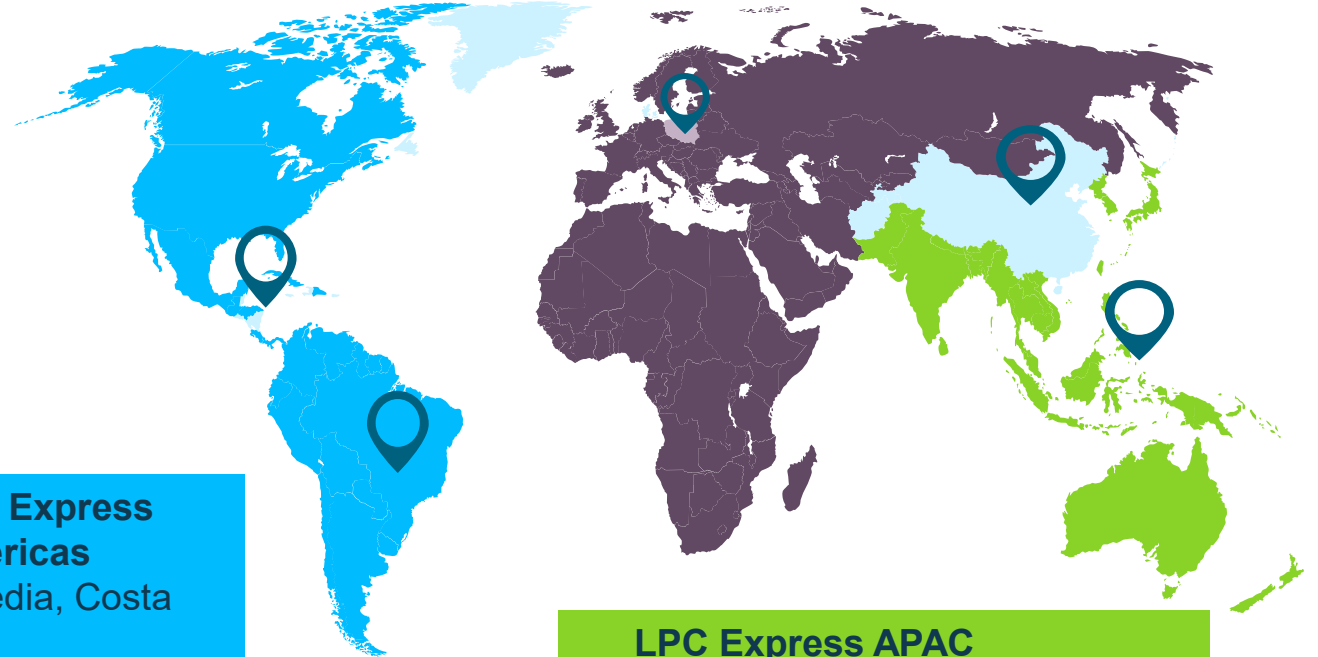


Highly Qualified Regional Teams cover AMERICAS, EMEA & APAC

REGIONAL PRESENCE

LPC Express Americas
Heredia, Costa Rica
Sao Jose dos Campos, Brazil
26 People

LPC Express EMEA
Gdansk, Poland



LPC Express APAC
Manila, Philippines, Dalian, China
(to cater to language requirements, 2 Investigators are based in Japan, 1 Investigator/Agent in Thailand and 1 Investigator/Agent in Korea)

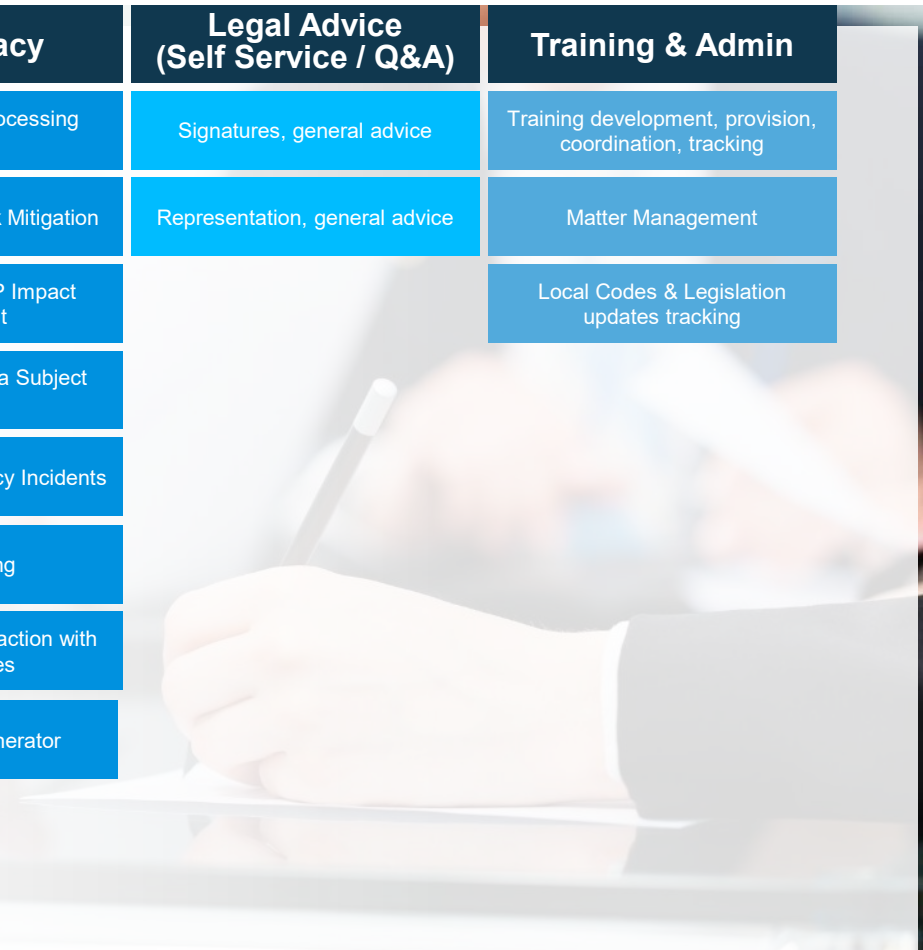


LPC Express Activities

Scope of Activities and Services transferred to LPC Express (w/o Compliance Investigations)



ICM	Code Compliance	Compliance Advice (Self Service / Q&A)	Data Privacy	Legal Advice (Self Service / Q&A)	Training & Admin
TPDD / TPO*	FMV Check for HCP & Patient engagement	Advice on TPDD / TPO (use of tool)	Management of Processing Activities	Signatures, general advice	Training development, provision, coordination, tracking
Approval of Events, Meetings with Government Officials & other third parties	Approval of events & meetings with HCPs	Advice on Anticorruption	Management for Risk Mitigation	Representation, general advice	Matter Management
Approval of Gifts Government Officials & other third parties	Approval of Gifts HCPs	Advice on Handling of Gifts	Management of DP Impact Assessment		Local Codes & Legislation updates tracking
Approval of Sponsorships Government Officials & other third parties	Approval of Sponsorships / HCPs	Advice on Charitable Giving	Management of Data Subject Requests		
Compliance Approval Process of Charitable Giving		COI Advice on Conflicts of Interest	Management of Privacy Incidents		
Review before engaging in Benchmarking or Market Information Systems involving Competitors		Advice on Interaction with Competitors	DP Monitoring		
Review before conducting Primary CI Activities involving Competitors		Advice on Compliance Structure & Speak Up	Management of interaction with DP authorities		
Review of TA participation			DP Statement Generator		
Review and Retention of TA Agenda and Minutes					
EMS					
Support of COI Disclosure					

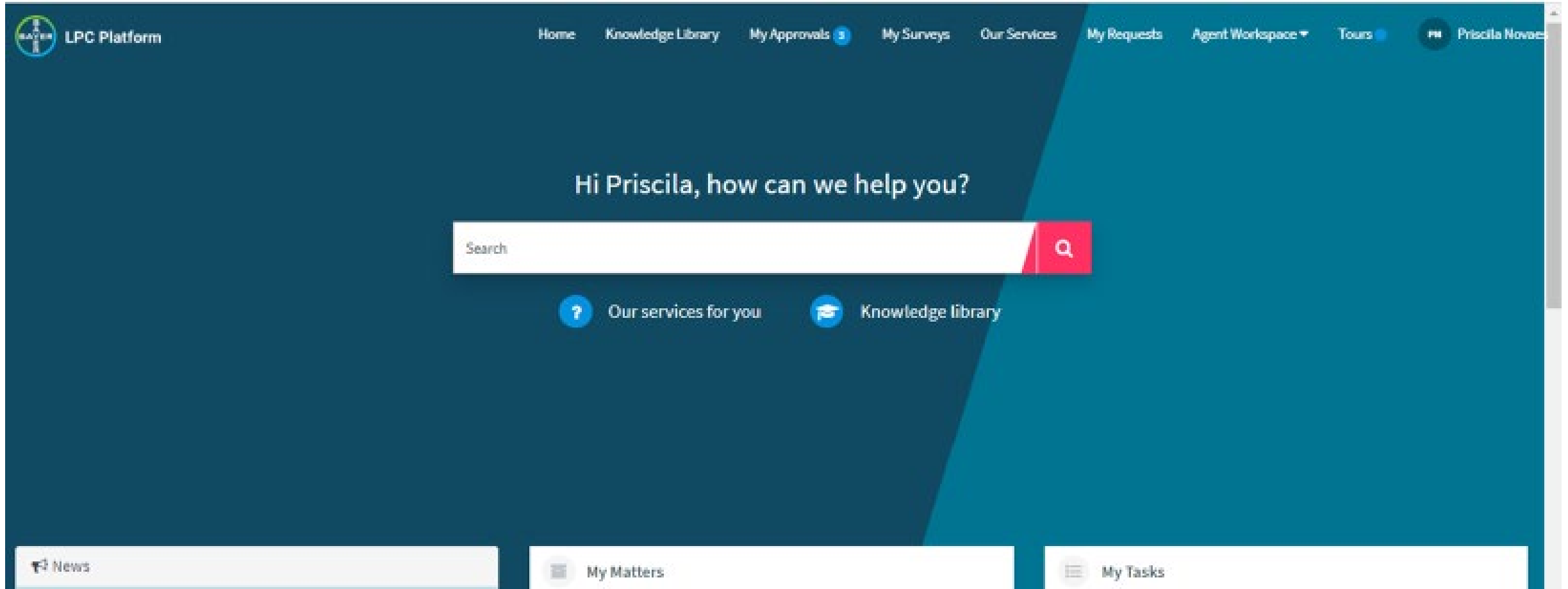




The Look & Feel



smartvokat






The Look & Feel

The screenshot displays the Bayer LPC Platform interface. At the top, a dark blue navigation bar contains the Bayer logo and the text "LPC Platform" on the left, and a series of menu items: Home, Knowledge Library, My Approvals (with a notification icon), My Surveys, Our Services, My Requests, Agent Workspace (with a dropdown arrow), Tours (with a notification icon), and a user profile for Priscila Novaes. Below the navigation bar, a breadcrumb trail shows "Home > Our services for you > Our services". To the right of the breadcrumbs is a search bar with the text "Search" and a magnifying glass icon. The main content area is divided into a left sidebar and a main grid. The sidebar contains a "Categories" section with a sub-menu for "Our services" (highlighted) and a list of service categories: Our services, Compliance Services, Data Privacy Services, Insurance Services, and Other services. Below this is a "Data Privacy Document Generator" section with a text input field and a plus icon. The main grid, titled "Our services", features a grid of six service cards. Each card has a title, a brief description, and a "View Details" button. The cards are: 1. "Report a Compliance Incident" (For LPC and Investigations collaborating functions to efficiently submit allegations collected outside global hotline channels). 2. "Antitrust Check: Benchmark..." (Request anti-trust check before initiating a Benchmarking exercise). 3. "Antitrust Check: Primary Co..." (Request anti-trust check before collecting Primary Competitive Intelligence data). 4. "Antitrust: Trade Association..." (Registration & Participation in Trade Association Meetings, Red Flag Check and Storage of Meeting Documents). 5. "Contact us - Compliance Ser..." (Submit your request for compliance services). 6. "Contact us - Data Privacy Se..." (Submit your request). The grid also includes a toggle for view options (grid and list icons).



The Look & Feel (Gift Compliance Check GCC)

LPC Platform Home Knowledge Library My Approvals **3** My Surveys Our Services My Requests Agent Workspace Tours **PK** Priscila Novaes

☰ GCC0003354 

Gift Compliance Check

Number
GCC0003354

Please answer the following questions. The information entered will be assessed for appropriateness and a response provided. If the gift is not permitted, you may seek further assessment by an LPCx Agent.

Gift Direction
Giving ✕ ▾

Recipient country
▾

Recipient classification
▾

Type of gift
▾

Compliance recommendation
▾

Compliance recommendation reason
▾

Related Lists
Gift Compliance Checks by same Requester **2**

RESTRICTED



The Look & Feel (Third Party Due Diligence TPDD)

LPC Platform Home Knowledge Library My Approvals 3 My Surveys Our Services My Requests Agent Workspace Tours Priscila Novaes

TPDD0021083

Scope Check Assessment Approval Approved Rejected

TPDD

Number: TPDD0021083

State: Open

* TPDD Manager: Priscila Novaes

Matter type: Third Party Due Diligence

TPDD Process:

Process State: Scope Check

TPDD Information

Third Party:

Third Party not in Database

Bayer Entity: Bayer S.A.

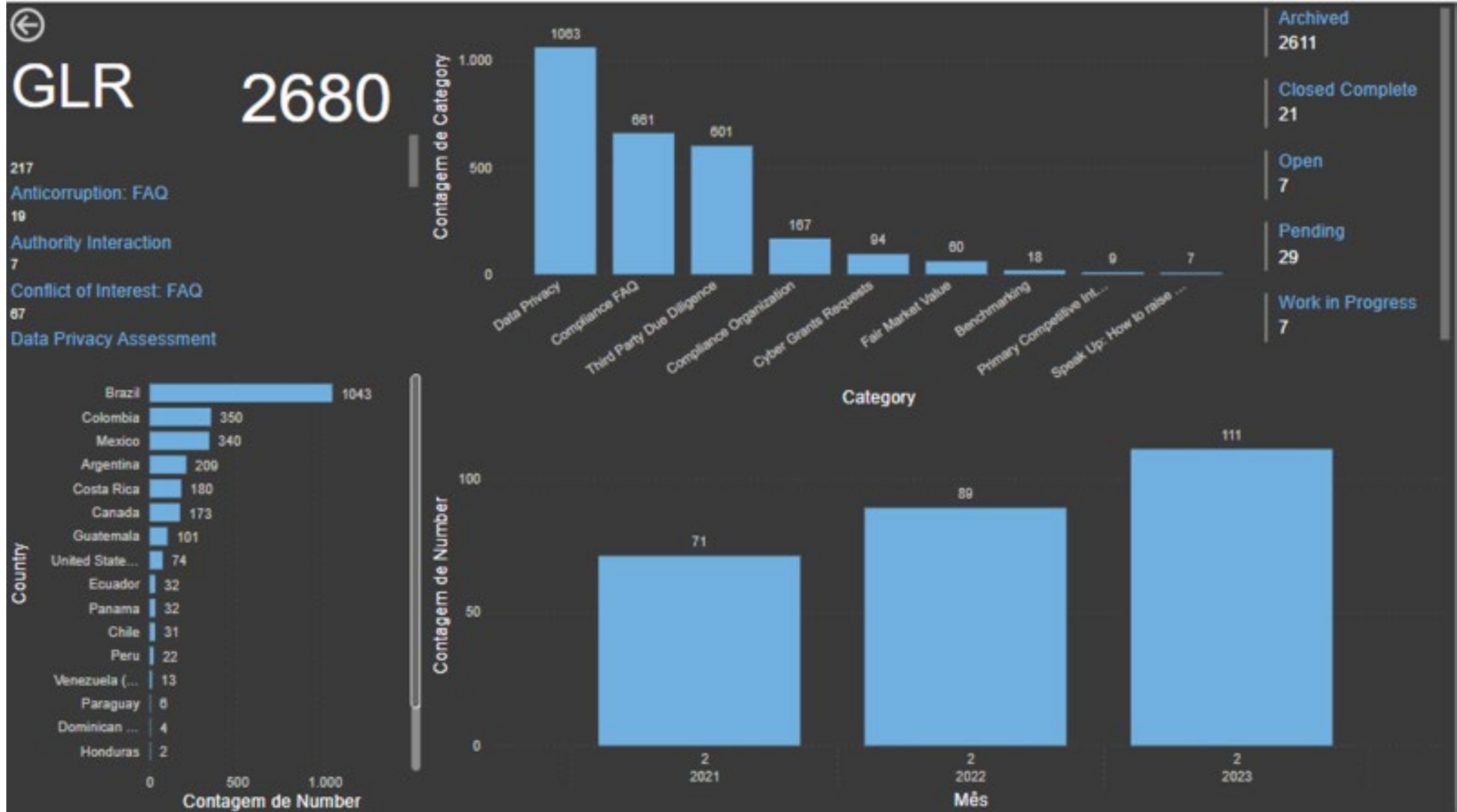
Bayer Division:

Related Lists
TPDD Survey Searches

RESTRICTED

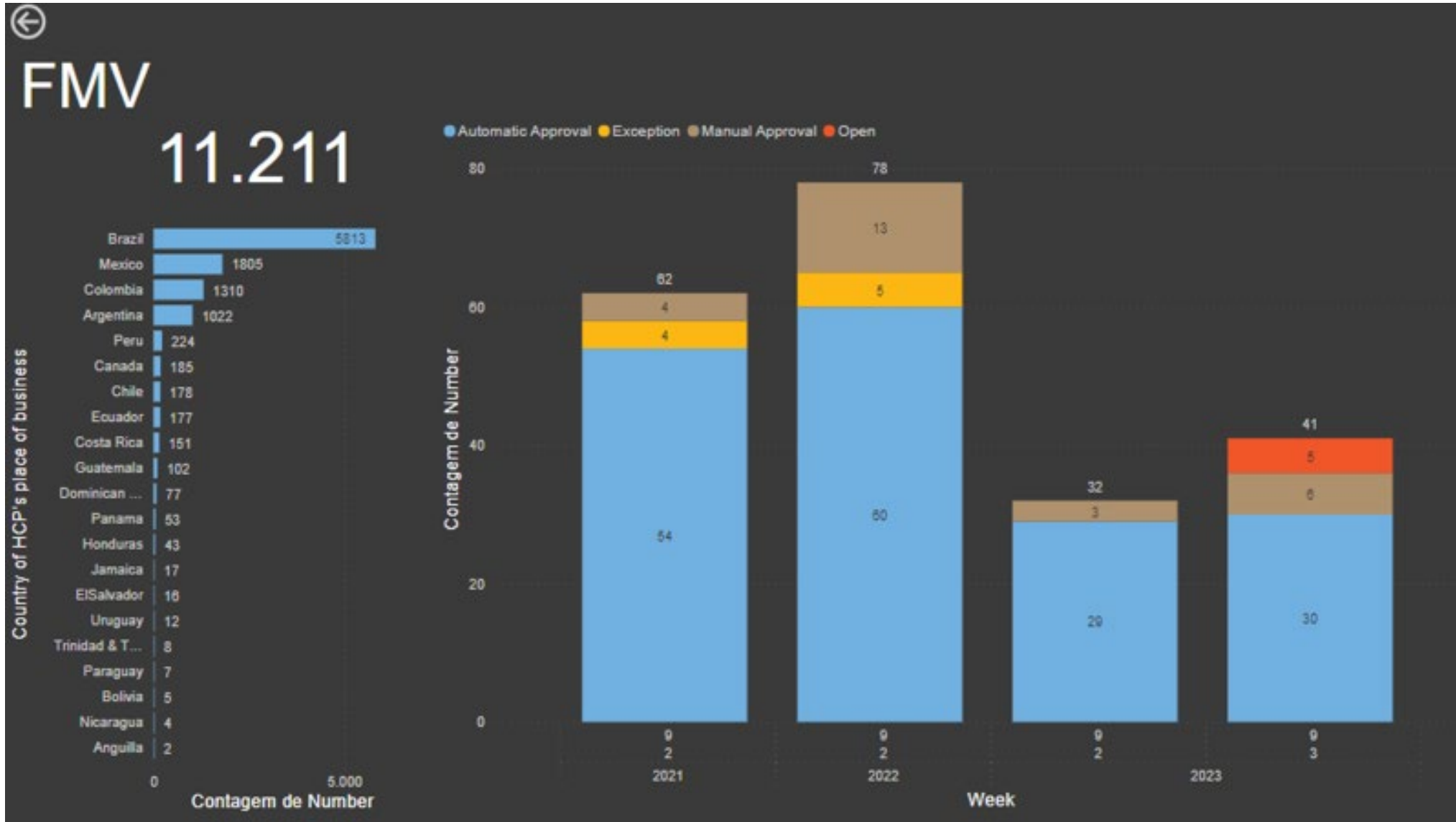


Access to Tons of Data...



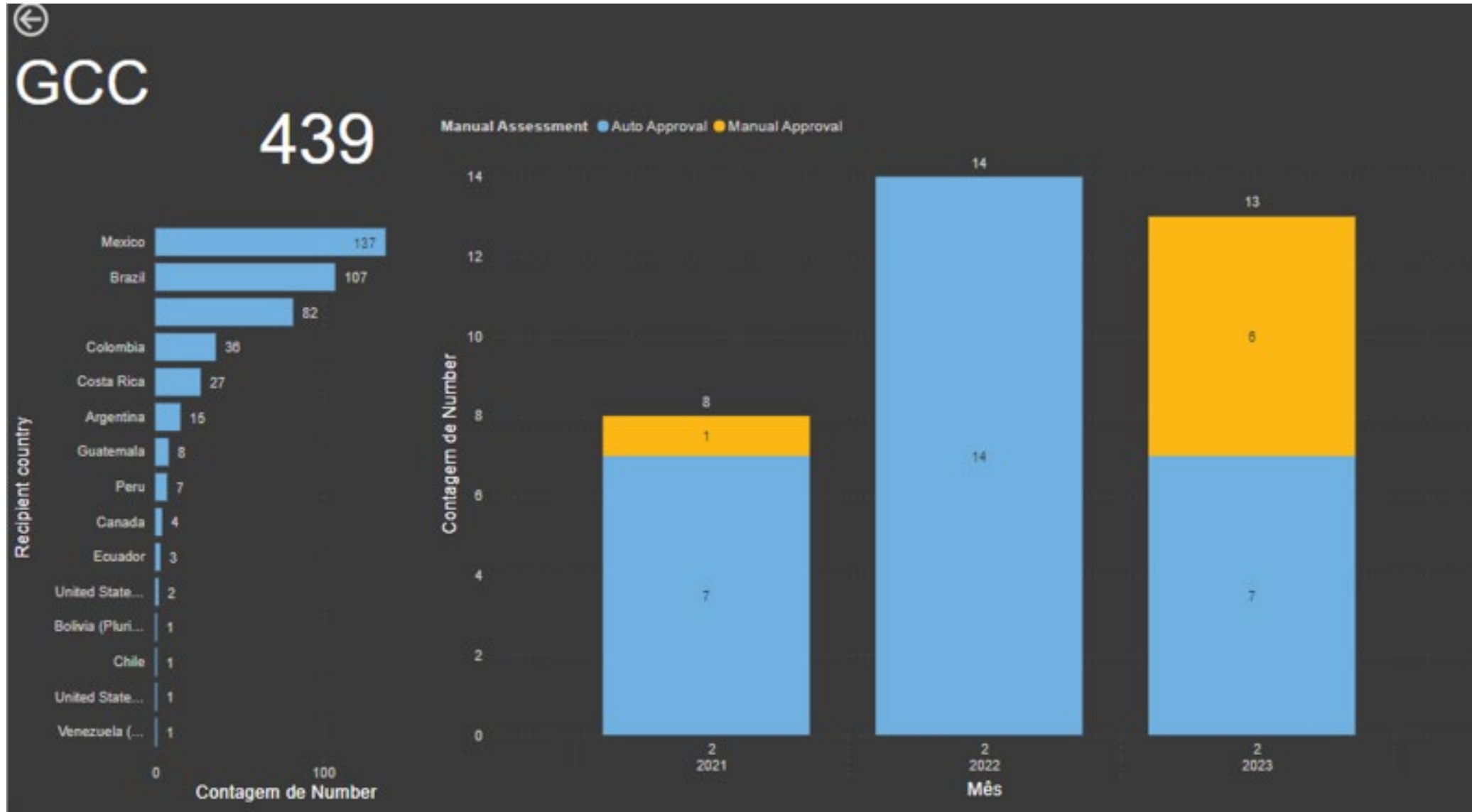


Access to Tons of Data...





Access to Tons of Data...



Thanks!!!

